



**JCB Finance Ltd is a specialist asset finance company with
Portfolio balances exceeding £1 billion.**

Compliance & Risk Officer

We have an interesting and varied vacancy for a Compliance & Risk Officer, reporting to the Head of Compliance & Risk. Minimum two years' experience in a similar role, ideally in the financial services sector. Self-motivated, with effective communication and interpersonal skills. Experience of working within financial services regulatory and legal frameworks is essential (FCA and/or PRA, SM&CR, etc.) Hybrid working is available (3 days office/2 home). Own transport is recommended due to location.

JCB Finance is a B2B lender regulated by the FCA. We are proud to be JCB's sales-aid asset finance provider in the UK. Shareholders are the JCB and NatWest Groups. Our office is in Rocester (between Uttoxeter and Alton Towers), opposite JCB's HQ, with good road links and easy access to the A50.

Job role responsibilities include:

- Assisting the Senior Managers (SMF role holders) in complying with FCA principles, rules, legislation.
- Delivering compliance awareness training to stakeholders at all levels.
- Maintenance of the Compliance Monitoring Plan, ensuring adequate key risk management.
- Produce management information for the Board of Directors, and business risk committees.
- Assist in maintaining compliance culture.
- Conducting internal second line audits and reporting to stakeholders.
- Co-ordinating the internal audit schedule.
- Maintaining the company's risk register.
- Managing the Incident Management system, including provision of MI to stakeholders.
- Conduct compliance reviews of financial promotions.
- Supporting the DPA Officer with DPA compliance.

Skills, competences, and knowledge:

- Knowledge of financial services' legal and regulatory requirements (eg: FCA, SMCR, Consumer Duty, DPA).
- Practical, with commercial awareness (ability to apply technical/regulatory knowledge to commercial scenarios).
- Diligent (contributes to maintenance of the company's risk management standards).
- People skills (maintaining relationships with stakeholders).
- Microsoft Suite knowledge and experience, Power BI experience is beneficial (training provided).
- Team worker, self-motivated, proactive.
- Proficient admin and organisational skills, good attention to detail.

Experience and qualifications:

- Minimum 2 years' experience in a similar role.
- Min GCSE Maths and English C/5. A levels/equivalent (preferred).
- Degree in a related subject, and/or professional qualification e.g.: International Compliance Association (beneficial)

Remuneration & Benefits:

- Competitive salary
- 5 days Monday-Friday, 37.50 hours per week
- 25 days holiday plus Bank Holidays, up to 5 service days after eligibility.
- Pension Scheme (15% employer contribution)
- Discretionary bonus scheme (up to 15%)
- Personal and home loan schemes
- Private healthcare
- Private dental scheme
- Subsidised gym on-site at HQ
- NatWest Share schemes and Awards
- Employee discounts via My Staff Shop
- Charity day/volunteering
- Death in Service 4 x salary benefits
- Generous sick pay and permanent health insurance schemes after eligibility
- Company enhanced maternity pay after eligibility.
- Long Service cash awards (£500 up to £1,000) starting at 3 years.
- Free car parking

To apply please visit www.jcb-finance.co.uk/careers to download our application form and/or your CV. Please email completed application form to humanresources@jcb-finance.co.uk

Employment is subject to satisfactory pre-employment checks including references, Credit Reference Agency, Fraud, Basic Disclosure check (DBS) and qualifications. JCB Finance Ltd is authorised and regulated by the Financial Conduct Authority (Firm reference number: 708332), Climate literate organisation, silver standard.